

FORM PTO-1390 (Modified)
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

GIL40018

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/446296

INTERNATIONAL APPLICATION NO.
PCT GB98 01798INTERNATIONAL FILING DATE
June 18, 1998PRIORITY DATE CLAIMED
June 18, 1997

TITLE OF INVENTION

METHOD AND APPARATUS FOR GENERATING A DISPLAY SIGNAL

APPLICANT(S) FOR DO/EO/US

Steven Holmes, Simon Anthony Vivian Cornwell, David John Wright and Richard Andrew Kydd

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

PCT REQUEST

| | | |
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| U.S. APPLICATION NO. (IF KNOWN, SEE 3) 09/446296 | INTERNATIONAL APPLICATION NO. PCT GB98 01798 | ATTORNEY'S DOCKET NUMBER GIL4-BJ18 |
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| | | | | | |
|---|---------------------|---------------------|-------------|----------------------------------|-----------|
| 20. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | \$840.00 | |
| | | | | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 12 - 20 = | 0 | x \$18.00 | \$0.00 | |
| Independent claims | 3 - 3 = | 0 | x \$78.00 | \$0.00 | |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/> | | | | \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$840.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/> | | | | \$0.00 | |
| SUBTOTAL = | | | | \$840.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | \$0.00 | |
| TOTAL NATIONAL FEE = | | | | \$840.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/> | | | | \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$840.00 | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |

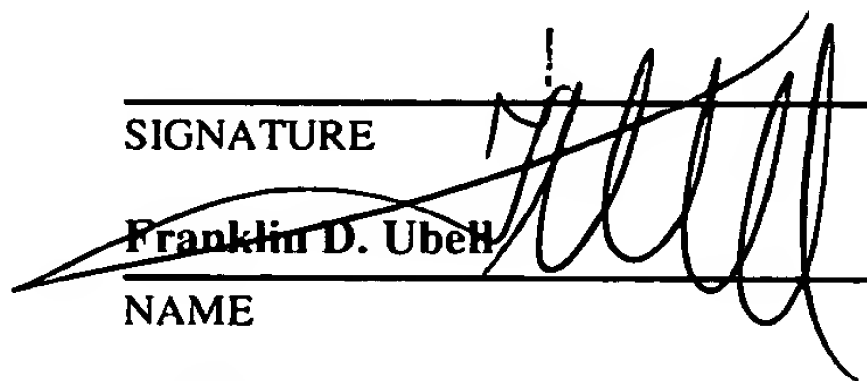
☒ A check in the amount of **\$840.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-2462** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

| | |
|--|--|
| Franklin D. Ubell, Esq. Reg. No. 27,009 Price, Gess & Ubell 2100 S.E. Main Street, Suite 250 Irvine, California 92614 949 261-8433 | SIGNATURE  Franklin D. Ubell NAME 27,009 REGISTRATION NUMBER December 16, 1999 DATE |
|--|--|

09/446296
420 Rec'd PCT 10 16 DEC 1999

JOSEPH W. PRICE
ALBIN H. GESS
FRANKLIN D. UBELL
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

PRICE, GESS & UBELL

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: pgu@pgulaw.com

PRELIMINARY AMENDMENT

Inventor(s): Steve Holmes, et al.

Title: METHOD AND APPARATUS FOR GENERATING A
DISPLAY SIGNAL

Attorney's
Docket No.: GIL4-BJ18

EXPRESS MAIL LABEL NO. EL 227718250US
DATE OF DEPOSIT: December 16, 1999

09/446296
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JOSEPH W. PRICE
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DECLARATION AND POWER OF ATTORNEY (Unexecuted) FOR PATENT APPLICATION

Inventor(s): Steve Holmes, et al.

Title: METHOD AND APPARATUS FOR GENERATING A
DISPLAY SIGNAL

Attorney's
Docket No.: GIL4-BJ18

EXPRESS MAIL LABEL NO. EL 230379295US
DATE OF DEPOSIT: December 16, 1999

09/446296

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PRICE, GESS & UBELL

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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**COPY OF PUBLISHED APPLICATION UNDER THE PATENT
COOPERATION TREATY**

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INTERNATIONAL SEARCH REPORT

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FORM PTO 1449
(WITH COPIES OF REFERENCES)

Inventor(s): Steve Holmes, et al.

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PCT REQUEST FORM

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